

10/06/00  
jc948 U.S. PTO

10-10-00

A

Patent  
252/248

To: Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

jc927 U.S. PTO  
09/684601  
10/06/00

**NEW APPLICATION TRANSMITTAL - UTILITY**

Sir:

Transmitted herewith for filing is a **utility** patent application:

**Inventor(s):** Terry Smith, M.D.

**Title:** A NOVEL THERAPY FOR ANTIBODY-MEDIATED INFLAMMATORY  
AUTO-IMMUNE DISORDERS

**I. PAPERS ENCLOSED HEREWITH FOR FILING UNDER 37 CFR § 1.53(b):**

- 29 Page(s) of Written Description  
3 Page(s) Claims  
1 Page(s) Abstract  
6 Sheets of Drawings ☒ Informal ☐ Formal

**II. ADDITIONAL PAPERS ENCLOSED IN CONNECTION WITH THIS FILING:**

- ☒ Declaration  
☒ Power of Attorney ☒ Separate ☐ Combined with Declaration  
☒ Assignment to Harbor-UCLA Research and Education Institute and assignment cover sheet  
☒ Verified Statement establishing "Small Entity" under 37 CFR §§ 1.9 and 1.27  
☐ Priority Document No(s):  
☐ Information Disclosure Statement w/PTO 1449 ☐ Copy of Citations  
☐ Preliminary Amendment  
☒ Return Postcard

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.10)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as 'Express Mail Post Office To Addressee' in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

EL476730898US  
Express Mail Label No.

October 06, 2000  
Date of Deposit

Lynne Fulmer  
Name of Person Mailing Paper

Lynne Fulmer  
Signature of Person Mailing Paper

09684601-100600

III. THE FILING FEE HAS BEEN CALCULATED AS SHOWN BELOW:

<b>BASIC FILING FEE:</b>						<b>\$710.00</b>
Total Claims	19	-	20	=	0 x \$18.00	\$0.00
Independent Claims	2	-	3	=	0 x \$80.00	\$0.00
Multiple Dependent Claims	\$270	(if applicable)			<input type="checkbox"/>	\$0.00
<b>TOTAL OF ABOVE CALCULATIONS</b>						<b>\$710.00</b>
Reduction by 1/2 for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.						\$355.00
Misc. Filing Fees (Recordation of Assignment -- \$40)						\$40.00
<b>TOTAL FEES DUE HERewith</b>						<b>\$395.00</b>

IV. METHOD OF PAYMENT OF FEES

- ☐ A check in the amount of \_\_\_\_.
- ☒ Charge Lyon & Lyon's Deposit Account No. **12-2475** in the amount of **\$395.00**.
- ☐ This application is being filed without fee or Declaration under 37 CFR § 1.53.

V. AUTHORIZATION TO CHARGE FEES

The Commissioner is authorized to credit any overpayment and to charge any underpayment to Lyon & Lyon's Deposit Account No. **12-2475** for the following:

- ☐ 37 CFR § 1.16 – (Filing fees and excess claims fees)
- ☐ 37 CFR § 1.17 – (Any application processing fees)
- ☐ 37 CFR § 1.21 – (Assignment recording fees)

VI. CORRESPONDENCE ADDRESS

Please send all correspondence to Customer Number 22249:



**22249**

PATENT TRADEMARK OFFICE

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Please direct all inquiries to Kurt T. Mulville, at 949/567-2300.

Respectfully submitted,

LYON & LYON LLP

Dated: October 6, 2000

By: \_\_\_\_\_

*Kurt T. Mulville*  
Kurt T. Mulville  
Reg. No. 37,194